# Discipline, Separations, and Appeals Resources Guide

# APPEAL CHECKLIST- Removal and Disciplinary Actions

Employee:	Docket No
Phone:	Docket Noe-mail:
Status: permanent	other classified ( <u>limited</u> appeal rights; tell your attorney) unclassified ( <u>no</u> appeal rights; tell your attorney)
Action appealed:	-
Effective date:	
How and when did employee	receive the letter?
Date appeal filed:	How:
	nin 30 calendar days after the day the employee received letter)? If because the appeal is defective.
	y receive the discipline or removal letter before the effective ction? If not, tell your attorney because the action is defective.
	ed, is the effective date at least seven days after the mailing date? It office problem. Tell your attorney.
accurate dates)? If no	adequate (for each charge, is conduct described in detail, with it, tell your attorney who will have to decide whether to ask to go forward with fewer charges.
Does disciplinary lett found? If not, issue co	ter contain notice of appeal rights and where Chapter 13 can be orrected letter.
Who took the action?	Under what authority? ***
Statute/rule	Authentic act
Other Writing:	Oral delegation from?
Longstanding custom	
*** If none, tell you	r attorney because the action is defective.
	notice given? If so, when and how:
ir not, ten your atto	rney because the action is defective.
	opportunity to respond? If so, when and how: rney because the action is defective.
Was response receive	ed from employee? When?

# Was response reviewed by appointing authority? If not, tell your attorney because the action is defective. List the charges and the witnesses and documents needed for each charge. 3.\_\_\_\_\_ List the defenses and the witnesses and documents needed for each defense. 2. 5.\_\_\_\_\_

#### APPEAL CHECKLIST- Other actions

Employee:	Docket No.		
Phone:	Email:		
	_ other classified ( <u>limited</u> appeal rights; tell your attorney) _ unclassified ( <u>no</u> appeal rights; tell your attorney)		
Action appealed:	Effective date:		
How and when did employee	learn about this action?		
Date appeal filed:	How:		
	n 30 calendar days after day employee learned about the action)? If by because the appeal is defective.		
Has employee claimed appeal is defective.	one of the following? If no, tell your attorney because the		
Discrimination because Discrimination because Discrimination because Discrimination because Violation of a Civil Se Which one(s) Violation of the Civil Se Which section?	e of religious beliefs e of race e of sex ervice Rule		
List the witnesses and docum	ents needed to defend each allegation.		
1.			
2			
3			
4			

5	
6.	
7	
8	
9	
10	

#### PARISH OF EAST BATON ROUGE STATE OF LOUISIANA

#### DELEGATION OF APPOINTING AUTHORITY

BEFORE ME, the undersigned Notary, personally came and appeared	
who, after being duly sworn by me, did depose and say:	
That she is the Assistant Secretary for the Office of;	ori forti Der adır
That as such and pursuant to LSA-R.S.36:, she is the appointing authoritemployees in that Office;	
That she hereby delegates to each of the following Facility Administrators (a successors in office) appointing authority for all employees assigned to their refacilities:	
ABC, Facility Administrator for XYZ Facility DEF, Facility Administrator for UVW Facility GHI, Facility Administrator for RST Facility	
Thus done and passed in my office in Baton Rouge, Louisiana, in quadroriginals, before the witnesses whose signatures appear below, this, 200	
Witness #1 Appointing Authority	
Witness #2	
Notary Public	

#### (Delegation of partial authority)

## PARISH OF EAST BATON ROUGE STATE OF LOUISIANA

#### **DELEGATION OF APPOINTING AUTHORITY**

BEFORE ME, the undersigned Notary, personally came and appeared
,
who, after being duly sworn by me, did depose and say:
That he is the Undersecretary for the Office of Management and Finance in the Department of;
That as such and pursuant to LSA-R.S.36:, he is the appointing authority for all employees in that Office;
That he hereby delegates to, the Human Resource Director (and his or her successor in office) the following authority with respect to employees in the Office of Management and Finance
<ol> <li>To take any action with respect to an employee who does not have permanent status</li> <li>To initiate suspensions pending investigation; and</li> <li>To accept resignations.</li> </ol>
Thus done and passed in my office in Baton Rouge, Louisiana, in quadruplicate originals, before the witnesses whose signatures appear below, this day of, 200
Witness #1 Appointing Authority
Witness #2
Notary Public

#### (Delegation with reservations and prohibition against re-delegation)

PARISH OF STATE OF LOUISIANA BOUGH MOTAB TRADERO H	
AARBUOL FO:	
DELEGATION OF APPOINTING AUTHORITY	
BEFORE ME, the undersigned Notary, personally came and appeared	
IE ME, the enderstand Malary, personally came and appeared imits Soleau, who, at a boing duly award by me, did depose and easy.	
who, after being duly sworn by me, did depose and say:	
That she is the Executive Director for the Housing Authority of	<u>3 781 </u>
That as such and pursuant to LSA-R.S. 40:, she is the appointing authority employees of that Housing Authority;	y for al
That she hereby delegates to, the Assistant Direct his or her successor in office) appointing authority for all employees of the Hauthority except the authority to remove or dismiss an employee who has a permanent status.  That neither the Assistant Director nor his or her successor in office may re-di-	Housing attained
this authority.	
Thus done and passed in my office in, Louisia quadruplicate originals, before the witnesses whose signatures appear below day of, 200	

Witness #1 Appointing Authority

Witness #2

Notary Public

#### (Delegation of authority for improvement letters)

#### PARISH OF EAST BATON ROUGE STATE OF LOUISIANA

#### DELEGATION OF AUTHORITY FOR IMPROVEMENT LETTERS

BEFORE ME, the undersigned Notary, personally came and appeared Anne Smith Soileau, who, after being duly sworn by me, did depose and say:

That she is the Director of the Department of State Civil Service;

That, as such, and pursuant to La. Const. Art. X, Sec. 6, she is the appointing authority for all employees in the Department except those in the office of the State Examiner of Municipal Fire and Police Civil Service, the Board of Ethics, the Advisory Board on Inservice Training and Education, the State Police Service, and the Division of Administrative Law;

That she delegates to all rating supervisors over whom she has appointing authority the authority to issue improvement letters in accordance with Civil Service Rule 12.9.

Thus done and passed in r before the witnesses wi			
en a sur en			
Witness		Appointing Authority	
Witness			
_	Notary Public		

\*NOTE: An original for each rating supervisor is not practical. The Notary, the delegator, and the Human Resource Director would each maintain an original.

#### (Delegation with order of succession)

#### PARISH OF EAST BATON ROUGE STATE OF LOUISIANA

#### DELEGATION OF APPOINTING AUTHORITY

BEFORE ME, the undersigned Notary, personally came and appeared Anne Smith Soileau, who, after being duly sworn by me, did depose and say:

That she is the Director of the Department of State Civil Service;

That, as such, and pursuant to La. Const. Art. X, Sec. 6, she is the appointing authority for all employees in the Department except those in the office of the State Examiner of Municipal Fire and Police Civil Service, the Board of Ethics, the Advisory Board on Inservice Training and Education, the State Police Service, and the Division of Administrative Law:

That, in the event of her absence or inability to perform her duties, she delegates appointing authority for employees over whom she has appointing authority in the following order of succession:

**Deputy Director** General Counsel Appeals Division Administrator MIS Division Administrator Program Assistance Division Administrator Program Accountability Division Administrator Compensation Division Administrator Staffing Division Administrator Administrative Officer

before the witnesses whose	e in Baton Rouge, Louisiana, in triplicate originals, ignatures appear below, this day of
Witness #1	Appointing Authority
Witness #2	
	Notary Public

#### (Documentation Form for Problematic Conduct)

Date	Time	Place			,
Description of co	nduct, including n	names of persons direc	tly involved:		
		ne agency's mission:			
.4'8					
Witnesses:				12 1 2 2	100
		,		, ,	
How conduct add	dressed:				
	82° > 17			****	

# (Letter separating non-permanent employee)

May 16, 2008
Dear Employee:
Under the authority contained in Civil Service Rule 12.2(a), your [probationary/nestricted/job] appointment as a will end at 4:30 p.m. on May 23, 2008. Please contact the Human Resource office at concerning your final paycheck and any benefits to which you may be entitled.
Sincerely, and the second and the process of the immediate to notice as a solution of the second and the second
Appointing Authority

LSA-R.S. 42:1414. State, district, parish, ward, and municipal employees; termination for conviction of a felony

The employee-employer relationship existing between a state, district, parish, ward, or municipal employee, whether classified or unclassified, and the state, district, parish, ward, or municipality, as applicable, shall be terminated and such employee shall be removed from his position of employment with the state, district, parish, ward, or municipality, as applicable, upon conviction, during his employment, of a felony as defined by the laws of this state or by the laws of the United States. Within ten days after a conviction is final and all appellate review of the original trial court proceedings is exhausted, the appointing authority of the employing agency shall terminate any state, district, parish, ward, or municipal employee who is convicted of a felony and is holding a position of employment with such agency. For the purposes of Article X, Section 8(A) and Article X, Section 46(A) of the Louisiana Constitution and any provision of law relating to disciplinary action taken against a state employee including any provision of law relating to post-employment benefits, final conviction of a felony shall be a cause for termination of a state, district, parish, ward, or municipal employee.

(Cover letter to request approval for a suspension pending criminal proceedings)

Director
Department of State Civil Service
Post Office Box 94111
Baton Rouge, LA 70804-9111

Dear Director:

Please place my request for approval to suspend [employee] without pay pending criminal proceedings on the next available Commission agenda. A completed questionnaire and a copy of the [Indictment/Bill of Information] are attached. If you need additional information, you can reach me at [phone] or [e-mail]. Thank you.

Sincerely.

Appointing Authority

cc: Employee with attachments

Employee's name:     Employee's address:	
Employee's classification:     Employee's duties:	
<ul><li>5. Crime charged:</li><li>6. Date of Arrest:</li><li>7. Date Indictment returned:</li></ul>	or Bill of Information filed:
	etment or the Bill of Information other documentation cry) must accompany this form.
8. Did the criminal charges result 9. Is the employee currently in jail	
Where?	
10. Why would the conduct that dismissal?	is the subject of the criminal charges be cause for
11. Why can't the agency allow the criminal charges are resolved?	ne employee to work in his or her regular job until the
12. Why can't the agency detail/r charges are resolved?	eassign the employee to another job until the criminal
13. What can't the agency obtain	sufficient information to initiate dismissal proceedings?
14. Employee's annual leave bala	nce:
15. Employee's compensatory lea	ive balance:
I hereby certify that the above knowledge.	information is true and complete, to the best of my
Appointing Authority	 Date

Questionnaire for suspension pending criminal proceedings

#### (Letter suspending employee pending criminal proceedings –

#### (assumes delivery by mail)

(documes delivery by mail)
October 10, 2008
Dear Employee:
On, the District Attorney for the Parish of filed a bill of information accusing you of indecent behavior with juveniles. Upon motion of the District Attorney, the judge has issued a protective order and sealed the record. Therefore, the name of the victim is unknown as are any details concerning the conduct that gave rise to the charge. A copy of the court's minute entries reflecting these actions is attached.
This agency sought and obtained approval from the State Civil Service Commission to suspend you, without pay, pending the outcome of the criminal charges against you Copies of the agency's request and the Commission's approval are attached. You suspension will begin on October 20, 2008 at 8:00 a.m. If you are acquitted of the charges, contact the Human Resource office immediately and you will be reinstated with back pay.
You have the right to appeal this action to the State Civil Service Commission within 3 calendar days following the date you receive this notice. The appeal procedure i contained in Chapter 13 of the Civil Service Rules, which is available from the Department of State Civil Service or your Human Resource office.
Sincerely, he at eldend at early que entitinavig villate to beneviet baset villages in incident to exert of sub-doj kin to enotional tednesse entities.
Appointing Authority
Attachments: Court minute entries Request for approval of suspension pending criminal proceedings Questionnaire Notice of Commission action
given written notice each time its inour a riskn unscheduled

(Notice that attendance requires improvement – assumes hand delivery)

December 14, 2007

#### Memo

To: Employee

From: Person Authorized by Agency

Re: Attendance

Your attendance requires improvement. During the past two weeks, you were one hour late for work on December 3, 2007, two hours late for work on December 11, 2007, and you did not report for work on December 6, 2007, claiming you had car trouble. You did not obtain approved leave before any of these absences. Therefore, these absences are unscheduled.

Civil Service Rule 12.6(a)2 allows the non-disciplinary removal of an employee who accumulates seven or more unscheduled absences during a twenty-six week period. This rule reads as follows:

- 12.6 Non-disciplinary Removals.
- (a) An employee may be non-disciplinarily removed under the following circumstances:
  - 1. When, on the date the notice required by Rule 12.7 is mailed, hand delivered, or orally given, the employee is unable to perform the essential functions of his job due to illness or medical disability and has fewer than eight hours of sick leave. An employee removed under this provision shall be paid for all remaining sick leave.
  - 2. When, after the employee has been given written notice that his attendance requires improvement and a copy of this rule, an employee has seven or more unscheduled absences during any consecutive twenty-six week period. The employee shall also be given written notice each time he incurs a sixth unscheduled absence during a consecutive twenty-six week period. An unscheduled absence occurs when an employee is absent from work without having obtained approved leave prior to the absence. Approval of leave, after the fact, to cover an unscheduled absence shall not prevent the absence from being considered unscheduled. A continuous absence for the same reason is one unscheduled absence, regardless of its duration.

- 3. When, as a result of conduct that was not work related, the employee fails to obtain or loses a license, commission, certificate or other accreditation that is legally required for the job.
- 4. When the employee holds more than one position in the state service and the multiple employment causes an employing agency to be liable for overtime payments under the Fair Labor Standards Act and, after having been provided an opportunity to do so, the employee has refused to resign from one of the positions.
- 5. When there is cause for dismissal, but the cause is not the employee's fault.
- (b) When an employee is removed under this Rule, the adverse consequences of Rules 6.5(c); 22.4(d); 23.16(a)4; 23.13(b); 11.18(b) and 17.23(e)4 shall not apply.

I am formally notifying you that any future unscheduled absences will be counted for

purposes of this rule. Under this agend being unscheduled, you must obtain ap	proved leave by [insert agen	
Hand delivered to employee on	, 200_, at	
Deliverer's signature	Employee's signatur	e e

NOTE: If Employee will not sign for the letter, Deliverer should so state.

(Notice of the sixth unscheduled absence – assumes hand delivery)

May 6, 2008

Memo

To: Employee

From: Person Authorized by Agency

Re: Attendance: sixth unscheduled absence

By memo dated December 14, 2007, I notified you that your attendance required improvement and that your future unscheduled absences would be counted for purposes of Civil Service Rule 12.6(a)2. You have now accumulated your <u>sixth</u> unscheduled absence during a twenty-six week period:

December 26, 2007 – called in sick; did not report for work

January 4, 2008 – called in; sick child; did not report for work

February 13, 2008 – arrived two hours late for work; no prior leave approved

March 13, 2008 – called in; car trouble; did not report for work

April 14, 2008 – called in; had to stay home and do taxes; did not report for work

May 5, 2008 – failed to report to work; no prior leave approved

26, 2007 and ending June 25, 2007,	will subject you to removal.
Hand delivered to employee on	, 200_, atm.
Deliverer's signature	Employee's signature
NOTE: If Employee will not sign for the	ne letter, Deliverer should so state.

#### (Notice of proposed action/opportunity to respond template)

Dear:		
I propose to	you for the following reasons:	
The evidence I have to sup	pport these charges is:	Dear Employees
You have the right to responsiblem. on	ond, in writing, to this notice. Your respons and may be mailed to me at , or e-mailed to me at ur response, I will advise you in writing w	e is due by, hat action I will take
Sincerely,		emogen reporte
Appointing Authority	toyee Rule 16-4. Resident 5987 said reme on January 10, 2005. Resident 5987 and not. I have statements from Supervises charges. Copies of the statements are	

#### (Proposed dismissal – assumes delivery by mail)

January 25, 2008

Employee 3456 Most Recent Address Furnished to ISIS-HR, PeopleSoft or the HR Office Baton Rouge, LA 70800

Dear Employee:

I propose to dismiss you from state service for resident abuse and for failing to properly complete outgoing shift reports. Details follow. Because the law protects the residents' identities, I am referring to them by number. Attached to your copy of this letter is a list that identifies these residents.

On January 10, 2008, you were in charge of the 7:00 a.m. to 3:00 p.m. shift on Serenity Unit; the incoming shift supervisor was Pat Supervisor. On January 10, 2008, Pat Supervisor reported for work at 3:00 p.m. and discovered that resident 5987 had a 3 inch cut on her forehead and that your outgoing shift report failed to mention this injury, in violation of Employee Rule 16-4. Resident 5987 said resident 3228 hit her with a ruler during lunchtime on January 10, 2005. Resident 5987 also said she heard you tell resident 3228 to hit her. I have statements from Supervisor and residents 5987 and 3228 to support these charges. Copies of the statements are attached.

You have the right to respond, in writing, to this proposed action and to explain why I should not dismiss you or why I should take a less severe action. Your response is due by 4:00 p.m. February 15, 2008, and may be mailed to, faxed to, or e-mailed to After I have considered your response, I will advise you in writing what action I will take (if any).
Sincerely, Appointing Authority
Attachments: List identifying residents Statements referred to in letter
*************
Attachment - for employee only

Resident 5987 is Melanie Maloney. Resident 3228 is Steve Sheffield.

#### (Proposed discipline – assumes hand delivery)

September 5, 2008

Dear Employee:

I propose to discipline you by suspension, reduction in pay, or dismissal for refusing to comply with our smoking policy. Department Policy No. 1990-S-123 prohibits smoking "anywhere in the Main Office Building, including the elevators, rest rooms, employee lounge, maintenance rooms, and broom closets." Department Policy No. 1990-S-124 permits smoking in the Smoke Break Room located in Building A and outside.

On August 22, 2008, at 3:00 p.m., Pat Supervisor discovered you smoking in Elevator #2 in the Main Office Building. Supervisor reminded you that the department smoking policy prohibited smoking anywhere in the building and that any further violation of the policy would result in discipline. Nonetheless, on September 2, 2008, Terry Manager found you and Co-Worker at 10:15 a.m., smoking in the maintenance room on the fourth floor. On September 3, 2008, at 2:30 p.m., Manager smelled smoke on the third floor, entered the broom closet, found it filled with smoke, and found you hastily putting out a cigar on the floor. To support these allegations, I have statements from Supervisor, and Manager, and Co-Worker.

should not discipline you. Your responsary be mailed to	riting, to this proposed action and to explain why I can be solved by 4:00 p.m., September 12, 2008, and, faxed to, or e-mailed to we considered your response, I will advise you in
Sincerely,	
	Smoorery, Appeinting Authority
Appointing Authority	
•••••	Manufac a manufacture
Hand delivered to employee on	, 200_, atm.
Deliverer's signature	Employee's signature

(Proposed removal under Rule 12.6(a)1 – assumes notice by mail)

October 10, 2008

Employee 123 Green Road City, LA 70000

Dear Employee:

As of today, you have fewer than eight hours of sick leave and you are unable to perform the essential functions of your job as a \_\_\_\_\_\_ due to illness or medical disability. Therefore, I propose to non-disciplinarily remove you from your position under Civil Service Rule 12.6(a)1, which is a non-disciplinary removal that does not disqualify you from certain re-employment eligibilities and rights. This rule allows a non-disciplinary removal when, on the date this notice is mailed or hand delivered, the employee is unable to perform the essential functions of his or her job due to illness or medical disability and has fewer than eight hours of sick leave.

The evidence to support this assertion includes your sick leave register and your physician's statement. Copies are attached.

You have the right to respond, in writing, to this proposed action. This is your opportunity to explain why I should not remove you from your position. Your response is due by 4:30 p.m., on November 3, 2008, and may be mailed to \_\_\_\_\_\_, faxed to \_\_\_\_\_\_, or e-mailed to \_\_\_\_\_\_. After I have considered your response, I will advise you in writing what action I will take (if any).

Sincerely, Appointing Authority

Attachments:

Sick leave register Physician's statement (Proposed removal under Rule 12.6(a)2 – assumes hand delivery)

June 18, 2008

#### Dear Employee:

By memo dated and delivered to you on December 14, 2007, your manager notified you that your attendance required improvement and that future unscheduled absences would be counted for purposes of Civil Service Rule 12.6(a)2. After each unscheduled absence, your manager advised you that you had accumulated yet another unscheduled absence and stressed the importance of reporting to work, as scheduled. By memo dated and delivered to you on May 6, 2008, your manager notified you that you had accumulated six unscheduled absences within a twenty-six week period and that your next unscheduled absence within that twenty-six week period would subject you to removal. Since then, you had another unscheduled absence: on June 12, 2008, you were two and one-half hours late for work; you had not obtained leave prior to your absence. During the twenty-six week period beginning on December 26, 2007 and ending on June 25, 2008, you had the following unscheduled absences:

December 26, 2007 – called in sick; did not come to work

January 4, 2008 – called in; sick child; did not come to work

February 13, 2008 – arrived two hours late for work; no prior leave approved

March 13, 2008 – called in; car trouble; did not come to work

April 14, 2008 – called in; had to do taxes; did not come to work

May 5, 2008 – failed to report to work; no prior leave approved

June 12, 2008 – arrived two and one-half hours late; no prior leave approved

Therefore, I propose to non-disciplinarily remove you from your position under Civil Service Rule 12.6(a)2, which is a non-disciplinary removal that does not disqualify you from certain re-employment eligibilities and rights. This rule allows a non-disciplinary removal when, after the employee has been given written notice that his attendance requires improvement and a copy of this rule, an employee has seven or more unscheduled absences during <u>any</u> consecutive twenty-six week period. An unscheduled absence occurs when an employee is absent from work without having <u>obtained</u> approved leave prior to the absence. Approval of leave, after the fact, to cover an unscheduled absence shall not prevent the absence from being considered unscheduled. A continuous absence for the same reason is one unscheduled absence, regardless of its duration.

To support these allegations, I have your leave requests, your supervisor's attendance log, and the front desk call-in log. Copies are attached.

You have the right to respond, in writing, to this proposed action. This is your opportunity to explain why I should not consider these absences unscheduled and/or why you believe you do not have seven unscheduled absences and/or why I should not

remove you. Your response is due by June 25, 2008, and may be mailed to, faxed to, or e-mailed to		
After I have considered your response, I will advise you in writing what action I will take (if any).		
Sincerely,		
Appointing Authority		
Attachments: Leave requests Supervisor's attendance log Front desk call-in log		
Hand delivered to employee on, 200_, atm.		
Deliverer's signature Employee's signature		
NOTE: If Employee will not sign for the letter, Deliverer should so state.		

(Proposed removal under Rule 12.6(a)3 – assumes hand delivery)

January 18, 2008

Dear Employee:

I propose to remove you from your position because you no longer meet the minimum qualifications for your job. You are employed as a Vehicle Operator. The minimum qualifications for this job include "possession of a Class A vehicle operator's license." On January 18, 2008, your Class A vehicle operator's license was revoked for driving while intoxicated and you cannot reapply for another license for two years. A copy of the revocation order is attached.

I propose to take this action under Civil Service Rule 12.6(a)3, which is a non-disciplinary removal that does not disqualify you from certain re-employment eligibilities and rights. A copy of Rule 12.6 is attached.

You have the right to respond, in writing, to should not remove you or why I should take 4:30 p.m. on January 25, 2008, and may be	some other action. Your re	esponse is due by
, or e-mailed to believe as	After I have considered	ed your response,
I will advise you in writing what action I will to	ake (if any).	
Sincerely,		
Appointing Authority		
Attachments:		
Revocation order Rule 12.6		
Hand delivered to employee on	, 200_, at	.m.ahar Dati tumala
Deliverer's signature	Employee's signature	Deliverer's signs
Don't or o dignaturo	Employee's signature	

NOTE: If Employee will not sign for the letter, Deliverer should so state.

#### (Proposed removal under Rule 12.6(a)4 – assumes hand delivery)

January 20, 2008 Dear Employee: You currently work full-time as a \_\_\_\_\_\_ for the Department of for this agency. as well as part-time as a This multiple employment requires this agency to pay you time and one-half for each hour worked on your part-time job. Your payroll records support this allegation. I discussed this problem with you on January 18, 2008, and offered you the opportunity to resign from one of the jobs. You refused to do so. Therefore, I propose to remove you from your part-time position with this agency under Civil Service Rule 12.6(a)4, which is a non-disciplinary removal that does not disqualify you from certain re-employment eligibilities and rights. A copy of Rule 12.6 is attached. You have the right to respond, in writing, to this proposed action and to explain why I should not remove you or why I should take some other action. Your response is due by 4:30 p.m. on January 25, 2008, and may be mailed to \_\_\_\_\_\_, faxed to , or e-mailed to \_\_\_\_\_. After I have considered your response, I will advise you in writing what action I will take (if any). Sincerely, Appointing Authority Attachments: Payroll records Rule 12.6 Hand delivered to employee on \_\_\_\_\_\_, 200\_, at \_\_\_\_ .m. Deliverer's signature Employee's signature

NOTE: If Employee will not sign for the letter, Deliverer should so state.

(Proposed removal under Rule 12.6(a)5 - assumes notice by mail)

Octobel 10, 2008
Dear Employee:
You have not reported for work since August 5, 2008. Ordinarily, this would constitute job abandonment and would result in a disciplinary dismissal. However, circumstances beyond your control prevented you from reporting for work: Hurricane destroyed your home, resulting in your moving to Oklahoma City to live with relatives Although your inability to report for work is not your fault, your duties as a cannot go unperformed and this agency cannot continue to par overtime to your co-workers who have absorbed your workload.
I propose to remove you from your position under Civil Service Rule 12.6(a)5, which is a non-disciplinary removal that does not disqualify you from certain re-employment eligibilities and rights. A copy of Rule 12.6 is attached.
You have the right to respond, in writing, to this proposed action and to explain why should not remove you or why I should take some other action. Your response is due by 4:30 p.m. on October 31, 2008, and may be mailed to, faxed to, or e-mailed to After I have considered your response I will advise you in writing what action I will take (if any).
Sincerely,
Appointing Authority

Attachment: Rule 12.6

#### (Discipline/Removal template)

NOTE: Notice of proposed action/12.7.	opportunity to re	spond must pred	ede this letter. Rule
Dear:			
You will be effective following reasons:	ective at	m., on	for the
You have the right to appeal this a calendar days following the date contained in Chapter 13 of the Department of State Civil Service of	you receive the Civil Service F	is notice. The a Rules, which is	appeal procedure is
Sincerely,			
Appointing Authority			

#### (Suspension letter – assumes hand-delivery)

NOTE: Notice of proposed action/opportunity to respond must precede this letter. Rule 12.7.

September 15, 2008

Dear Employee:

You will be suspended, without pay, for 40 hours (from 8:00 a.m. September 22, 2008 to 5:00 p.m. September 26, 2008) for failing to comply with our smoking policy. Department Policy No. 1990-S-123 prohibits smoking "anywhere in the Main Office Building, including the elevators, rest rooms, employee lounge, maintenance rooms, and broom closets." Department Policy No. 1990-S-124 permits smoking in the Smoke Break Room located in Building A and outside.

On August 22, 2008, at 3:00 p.m., you were smoking in Elevator #2 in the Main Office Building. Pat Supervisor reminded you that the department smoking policy prohibited smoking anywhere in the building and that any further violation of the policy would result in discipline. Nonetheless, on September 2, 2008, at 10:15 a.m. you were smoking in the maintenance room on the fourth floor. Again, on September 3, 2008, at 2:30 p.m. you were smoking in the third floor broom closet. By letter dated September 5, 2008, you were given an opportunity to respond to these charges. Your response was the policy was not fair.

To foster better health and to reduce the amount of sick leave taken, the administration of this agency is committed to providing a smoke free environment for our employees. Your undermining this commitment will not be tolerated. If you continue to fail to comply with the department's smoking policy, you will be dismissed.

You have the right to appeal this action to the State Civil Service Commission within 30 calendar days following the date you receive this notice. The appeal procedure is contained in Chapter 13 of the Civil Service Rules, which is available from the Department of State Civil Service or your Human Resource office.

Deliverer's signature NOTE: If Employee will not sign for the I	Employee's signature etter. Deliverer should so state.	
Hand delivered to employee on	, 200_, atm.	
Appointing Authority		Sincerely
Sincerely,		

#### (Reduction in pay letter – assumes delivery by mail)

NOTE: Notice of proposed action/opportunity to respond must precede this letter. Rule 12.7.

#### February 21, 2008

Dear Employee:

By letter dated January 25, 2008, I advised you that I proposed to dismiss you and gave you given an opportunity to respond. In your response, received on February 14, 2008, you admitted that you failed to complete the outgoing shift report concerning the injury, but denied the remainder of the charges. You also suggested that I talk to Peer 1, Peer 2 and resident 3228's mother. After considering your response and talking to the people you suggested, I have concluded that your conduct does not warrant dismissal but rather a less severe action. Therefore, beginning at 7:00 a.m., on February 28, 2008, your pay will be reduced from \$\_\_\_\_\_ to \$\_\_\_\_ per month for a period of \_\_\_\_\_ months. Thereafter, your pay will be restored to its current rate. The reason for this action is that you have violated Employee Rule 16-4, which requires the person in charge of a shift to complete a report concerning each resident with an observable injury. Details follow. Because the law protects the resident's identity, I refer to her by number. Attached to your copy of this letter is a list that identifies this resident.

On January 10, 2008, you were in charge of the 7:00 a.m. to 3:00 p.m. shift on Serenity Unit; the incoming shift supervisor was Pat Supervisor. On January 10, 2008, Supervisor reported for work at 3:00 p.m. and discovered that resident 5987 had a 3-inch cut on her forehead but you had made no entry about the injury on the outgoing shift report. Rule 16-4 requires an entry on the outgoing shift report to ensure that the resident has received prompt medical attention, to alert the oncoming shift to the injury and its treatment, and to insure that the agency can promptly investigate any suspected abuse. For these reasons, it is imperative that you follow this rule in the future.

You have the right to appeal this action to the State Civil Service Commission within 30 calendar days following the date you receive this notice. The appeal procedure is contained in Chapter 13 of the Civil Service Rules, which is available from the Department of State Civil Service or your Human Resource office.

Sincerely,

Appointing Authority

Attachment: list identifying resident (employee's copy only)

Resident 5987 is Melanie Maloney

#### (Demotion letter – assumes hand delivery)

NOTE: Notice of proposed action/opportunity to 12.7.	respond must precede this letter. Rule
February 8, 2008	
Dear Employee:	
By letter dated January 22, 2008, I advised action against you for failing to complete your to respond. In your response received on January complete your projects timely and explained Therefore, I am demoting you to a position warm. on February 11, 2008, you will be demotion is that you did not complete the follows:	work timely and gave you an opportunity uary 30, 2008, you admitted that you did ed that the work is too complex for you. With less complex work. Effective at 8:00 sted from 3 to reduced by 7%. The reason for your
Project The 123 task ABC file DEF case The Wiffle project  Because you did not complete your projects time fee for the 123 task; ABC had to pay \$234.2 begin the improvements on his property; and project finished.	n/a n/a mely, this agency had to pay a \$400 late 7 in interest; DEF has not been able to
You have the right to appeal this action to the calendar days following the date you receiv contained in Chapter 13 of the Civil Service Department of State Civil Service or your Human	e this notice. The appeal procedure is ce Rules, which is available from the
Sincerely,	
Appointing Authority	
Hand delivered to employee on	, 200_, atm.
Deliverer's signature	Employee's signature
NOTE: If Employee will not sign for the letter, D	Deliverer should so state.

(Rule 12.6(a)1 removal letter - assumes notice by mail)

NOTE: Notice of proposed action/opportunity to respond must precede this letter. Rule 12.7. December 31, 2007 Employee 123 Green Road City, LA 70000 Dear Employee: By letter dated and mailed on December 13, 2007, I advised you that I proposed to nondisciplinarily remove you from your position under Civil Service Rule 12.6(a)1 and gave you an opportunity to respond. As of that date, you had fewer than eight hours of sick leave and you were unable to perform the essential functions of your job as a due to illness or medical disability. I received your response to the proposed action on . Your response did not persuade me to change the proposed action. Therefore, you will be removed from your position under Civil Service Rule 12.6(a)1, effective 4:30 p.m., January 8, 2008. Because this is a non-disciplinary removal, you retain certain eligibilities and rights should you seek state employment in the future. You have the right to appeal this action to the State Civil Service Commission within 30 calendar days following the date you receive this notice. The appeal procedure is contained in Chapter 13 of the Civil Service Rules, which is available from the Department of State Civil Service or your Human Resource office. Sincerely, Appointing Authority

#### (Rule 12.6(a)2 removal letter - assumes notice by mail)

12.7.	opportunity to respond must precede this letter. Rule
Employee	June 25, 2008
123 Green Road	
City, LA 70000	
Dear Employee:	
non-disciplinarily remove you from gave you an opportunity to resp	ou on June 18, 2008, I advised you that I proposed to n your position under Civil Service Rule 12.6(a)2 and bond. As of that date, you had seven unscheduled sek period beginning December 26, 2007, and ending e as follows:
January 4, 2008 – called in; February 13, 2008 – arrived March 13, 2008 – called in; April 14, 2008 – called in; h May 5, 2008 – failed to repo	d in sick; did not report to work; sick child; did not report to work d two hours late for work; no prior leave approved car trouble; did not report to work ad to stay home and do taxes; did not report to work ort to work; no prior leave approved and one-half hours late; no prior leave approved
from your position, under Civil Sei	the proposed action. Therefore, you will be removed rvice Rule 12.6(a)2, effective 4:30 p.m., July 3, 2008. by removal, you retain certain eligibilities should you
calendar days following the date	action to the State Civil Service Commission within 30 e you receive this notice. The appeal procedure is Civil Service Rules, which is available from the or your Human Resource office.
Sincerely,	
	*******************************
Appointing Authority	

#### (Rule 12.6(a)3 removal letter – assumes hand delivery)

NOTE: must be hand delivered before 4:00 p.m. February 4, 2008 or the effective date must be changed.

NOTE: Notice of proposed action/opportunity to respond must precede this letter. Rule 12.7.

#### February 1, 2008

#### Dear Employee:

By letter dated January 18, 2008, I advised you that I proposed to remove you because you no longer meet the minimum qualifications for your job. Although I gave you an opportunity to present a written response, you did not do so.

Effective at 4:00 p.m. on February 4, 2008, you will be removed from your position as a Vehicle Operator. The reason for this action is that on January 18, 2005, your Class A vehicle operator's license was revoked for driving while intoxicated and you cannot reapply for another license for two years. A copy of the revocation order is attached. Because the minimum qualifications for the job of Vehicle Operator include "possession of a Class A vehicle operator's license," you are no longer qualified to perform your job.

This action is being taken under Civil Service Rule 12.6(a)3, which is a non-disciplinary separation that does not disqualify you from certain re-employment eligibilities and rights.

You have the right to appeal this action to the State Civil Service Commission within 30 calendar days following the date you receive this notice. The appeal procedure is contained in Chapter 13 of the Civil Service Rules, which is available from the Department of State Civil Service or your Human Resource office.

Appointing Authority		
Attachment: Order of revocation		
	, 200_, at	.m.
Deliverer's signature	Employee's signature	
NOTE: If Employee will not sign for	or the letter, Deliverer should so state	e.

#### (Rule 12.6(a)4 removal letter – assumes hand delivery)

NOTE: must be delivered before 4:30 p.m. January 29, 2008, or the effective date must be changed. NOTE: Notice of proposed action/opportunity to respond must precede this letter. Rule 12.7. January 28, 2008 Dear Employee: You currently work full-time as a \_\_\_\_\_\_ for the Department of as well as part-time as a for this agency. This multiple employment requires this agency to pay you time and one-half for each hour worked on your part-time job. I discussed this problem with you on January 18, 2008, and offered you the opportunity to resign from one of the jobs. You refused to do so and you did not respond to the notice of proposed action. You will be removed from your part-time position with this agency effective at 4:30 p.m. January 29, 2008. This action is taken under Civil Service Rule 12.6(a)4, which is a non-disciplinary removal that does not disqualify you from certain re-employment eligibilities and rights. You have the right to appeal this action to the State Civil Service Commission within 30 calendar days following the date you receive this notice. The appeal procedure is contained in Chapter 13 of the Civil Service Rules, which is available from the Department of State Civil Service or your Human Resource office. Sincerely, Appointing Authority Hand delivered to employee on \_\_\_\_\_\_\_, 200\_, at \_\_\_\_\_.m.

NOTE: If Employee will not sign for the letter, Deliverer should so state.

Employee's signature

Deliverer's signature

(Rule 12.6(a)5 removal letter – assumes notice by mail)

NOTE: Notice of proposed action/opportunity to respond must precede this letter. Rule 12.7.

November 5, 2008

Dear Employee:

You have not reported for work since August 5, 2008. Ordinarily, this would constitute job abandonment and would result in a disciplinary dismissal. However, circumstances beyond your control prevented you from reporting for work: Hurricane \_\_\_\_\_\_ destroyed your home, resulting in your moving to Oklahoma City to live with relatives. Although your inability to report for work is not your fault, your duties as a \_\_\_\_\_ cannot go unperformed and this agency cannot continue to pay overtime to your co-workers who have absorbed your workload. I have considered your response to the proposed action. However, this agency cannot hold your position until June 2009, when you anticipate returning to Louisiana.

Therefore, you will be removed from your position effective at 4:30 p.m. November 12, 2008, under Civil Service Rule 12.6(a)5. This is a non-disciplinary removal that does not disqualify you from certain re-employment eligibilities and rights.

You have the right to appeal this action to the State Civil Service Commission within 30 calendar days following the date you receive this notice. The appeal procedure is contained in Chapter 13 of the Civil Service Rules, which is available from the Department of State Civil Service or your Human Resource office.

Sincerely,

Appointing Authority

### (Documentation of hand delivery)

Hand delivered to employee on	, 200_, a	tm.
Deliverer's signature	Employee's sigr	nature
NOTE: If Employee will not sign for t	he letter, Deliverer should s	so state.
(Documentation	of domiciliary service)	
, ,	at home, so I I _, (an adult), who said he/s	
Date:Time:		
Deliverer Languer of malq pay in		
I,, reside letter I received from Deliverer on	with Employee and agree	to deliver to him/her the
Recipient		

NOTE: If Recipient will not sign for the letter, Deliverer should so state.

#### (Improvement Letter Checklist)

Employee:	
Appointing Au Action taken Authority:	statutory (citation) delegated from How?
Description of	of conduct that needs improvement
Explanation	of what is expected in the future
Notice of res	sponse rights and how improvement letter will be used:
to me at mailed to me so by letter mainta letter can be	right to respond in writing to this letter. Your response may be mailed  or faxed to me at, or e- e at If you plan to respond, please do  Your response will be attached to each copy of this ined by this agency. Should the same or similar conduct recur, this is used to support the severity of any future discipline, in which case a letter will be included in your personnel record.

#### (Warning)

Date: November 6, 2008

To: Employee

From: Appointing Authority or Delegated Person

Re: Warning - Returning late from lunch

Your lunch break is from 11:30 a.m. to 12:30 p.m. You recently exceeded your lunch break two times. On October 28, 2008, you did not return from lunch until 12:45 p.m. Yesterday, you did not return until 1:00 p.m. You did not ask for leave to cover these absences or notify anyone that you would be late returning from lunch. I will approve annual leave to cover these absences. However, I am formally warning you that further instances of exceeding your lunch break will result in leave without pay and discipline.

You are to return from lunch by 12:30 p.m. If an emergency arises preventing your timely return, you are to notify your supervisor before 12:30 p.m. You supervisor can approve annual leave to cover the absence, but only for a genuine emergency.

This warning is <u>not</u> a disciplinary action. However, failure to heed this warning will result in discipline. I will <u>not</u> place this warning in your personnel record. I will keep one copy for my files and I will forward one copy to your rating supervisor for inclusion in your supervisory file.

You have a right to respond to this warning. Please do so by	October 26, 2068
Your response may be mailed to me at	or faxed to me at
or e-mailed to me at	. I will attach your
response to each copy of the warning. Should the same or simila	
by this warning recur, this warning may be used to support the s	everity of any future
discipline, in which case, a copy of this warning will be include record.	d in your personnel

cc: supervisory file

#### (Reprimand)

#### October 22, 2008

#### Dear Employee:

One of this agency's objectives is to provide courteous service to the taxpayers. On October 20, 2008, your behavior fell short of this objective. At 9:15 a.m., Mr. Taxpayer came to your section to inspect the XYZ file, a public record. You demanded to know why he wanted to look at it; you muttered that the reason he gave you did not seem very good; and you delayed retrieving the file. Therefore, I am formally reprimanding you.

When someone asks to look at a public record, you are to say: "Yes sir. Please sign our public records request register; I will be glad to get that record for you." If the person does not appear to be eighteen years old, you may ask for identification with proof of age. You may not ask the reason for the request; it is illegal to do so. If the person volunteers a reason for the request, you are not to comment on the reason. You are to promptly retrieve the record and present it for inspection.

This reprimand is <u>not</u> a disciplinary action. This reprimand will <u>not</u> be placed in your personnel record. I will maintain one copy in my files and I am forwarding one copy to your rating supervisor for inclusion in your supervisory file.

You may respond to this letter in writing. You should deliver your response to me by October 29, 2008. I will attach a copy of your response to each copy of the reprimand. Should the same or similar conduct addressed by this reprimand recur, this reprimand may be used to support the severity of any future discipline, in which case, a copy of this reprimand will be included in your personnel record.

Sincerely,

Appointing Authority

cc: supervisory file

#### (Supervisory Plan – to be hand delivered and discussed with Employee)

#### April 2, 2008

To:

Employee

	ppointing Authority or someone with delegated authority upervisory Plan
Dear Em	nployee: 28-11-be galaraviol a am region blueve apparate AM visible and a property and pentil and providing the property and providing the providing transport and providing t
	acing you on a supervisory plan to help you improve your communication and on with your supervisors. Effective today:
int	When supervisory personnel communicate with you, you are to refrain from iterrupting and from raising your voice. You are to listen carefully, ask questions you need clarification, and take notes.
	ou are to comply promptly with any and all workplace directives or instructions nat are not illegal, immoral, or unethical given to you by supervisory personnel;
Mi av	you believe any directive or instruction is illegal, immoral, or unethical, you nust use your chain of command: first Mr./Ms, then Mr./Ms You may also vail yourself of the grievance procedure set forth in the Department's policy, olicy number
Pι	rior to October 1, 2008, you are to attend and complete the Comprehensive ublic Training Program (CPTP) course entitled "Effective Conflict Resolution trategies."
plan may	pervisory plan is <u>not</u> a disciplinary action. However, failure to comply with this y result in discipline. A copy of this supervisory plan will <u>not</u> be placed in your el record.
so by Ap we main similar pr	re a right to respond to this supervisory plan. If you intend to respond, please do pril 9, 2008. I will attach a copy of your response to each copy of this plan that attain (one in my file and one in your supervisory file.) Should the same or a problem recur, this plan may used to support the severity of any future discipline, case a copy of this plan will be included in your personnel file.
cc: super	ervisory file Received on at m.
	Employee

#### (Documentation of oral resignation)

Appointing Authority

From: Human Resource Professional

To:

Date: October 28, 2008
At 9:30 a.m. today, Emily Employee who is a Hospital Worker 4, called to say that she had moved to Kansas last weekend and therefore was resigning her position effective immediately. Ms. Employee would not give me a forwarding address and asked me is send her final paycheck and any paperwork to her father, Mr whose address is
to send us something in writing to confirm her resignation and she said she would, but have a feeling we will not get anything in writing from her.
co: Employee o/o Mr

(	Acce	ntance	of	resigna	tion)
١	1000	Plance	$\circ$	1 Colgila	

	's resignation, tendered orally at 9:30 a.m.	on October 28
2008 to Human Resour	ce Professional is hereby accepted.	
Date and time	Appointing Authority	
cc: Employee		